Contacts Meeting

January 22, 2015
Agenda

- NIH Updates
- Complex & Multi-Project RPPR
National Institutes of Health (NIH) Updates
Reminder: NIH Genomic Data Sharing Policy


If work involves the generation or use of large-scale genomic data

- State this fact in the Cover Letter, and
- Include a genomic data sharing plan or a justification explaining why this data cannot be shared and provide an alternative data sharing plan.

If plan to use controlled-access human genomic data from NIH-designated data repositories as a secondary user

- Briefly address plans for requesting access to the data, and
- In the Research Plan, state your intention to abide by the NIH Genomic Data User Code of Conduct.

If preparing applications that involve research funded prior to the Policy's effective date

- Include a genomic data sharing plan that outlines plans to comply with the expectations outlined in the Policy, and
- Plan to transition to a consent for future research uses and broad sharing.
Reminder: Revised NIH Definition of “Clinical Trial”

**NOT-OD-15-015**: Revised NIH Definition of “Clinical Trial”

Effective January 25, 2015, NIH will begin utilizing its clarified definition of Clinical Trial

- The revision is designed to make the distinction between clinical trials and clinical research studies clearer and to enhance the precision of the information NIH collects, tracks, and reports on clinical trials.

- The new definition of NIH Clinical Trial is:
  - A research study¹ in which one or more human subjects² are prospectively assigned³ to one or more interventions⁴ (which may include placebo or other control) to evaluate the effects of those interventions on health-related biomedical or behavioral outcomes.⁵
Reminder: New Biographical Sketch Format as of May 25, 2015


• Required for NIH & AHRQ Grant Applications Submitted for Due Dates on or After May 25, 2015 (Cycle II)

• NIH and AHRQ encouraged the use of the new published biosketch format for applications submission on or after January 25, 2015 (Cycle I)

• The new format accomplishes two important goals:
  1. Allows applicants to describe the magnitude and significance of their scientific contributions (including publications), and
  2. Allows applicants to provide more detailed information about their research experience in the context of the proposed project.
# Changes to Biographical Sketch

<table>
<thead>
<tr>
<th>Old Format</th>
<th>New Format</th>
</tr>
</thead>
<tbody>
<tr>
<td>Four Pages</td>
<td>Five Pages</td>
</tr>
<tr>
<td>Section A: Personal Statement</td>
<td>Section A: Personal Statement</td>
</tr>
<tr>
<td>• List experience and qualifications</td>
<td>• No change</td>
</tr>
<tr>
<td>Section B: Positions and Honors</td>
<td>Section B: Positions and Honors</td>
</tr>
<tr>
<td>• List in positions, honors, and memberships in advisory committees</td>
<td>• No Change</td>
</tr>
<tr>
<td>Section C: Selected Peer-reviewed Publications</td>
<td>Section C: Contribution to Science</td>
</tr>
<tr>
<td>• List up to no more than 15 peer-reviewed publications, based on relevancy, recency, and importance to the field</td>
<td>• List up to five significant contributions;</td>
</tr>
<tr>
<td></td>
<td>• For each contribution, list up to four relevant peer-reviewed publications or non-publication research products</td>
</tr>
<tr>
<td>Section D: Research Support</td>
<td>Section D: Research Support</td>
</tr>
<tr>
<td>• Current and Completed within the last three years</td>
<td>• No change</td>
</tr>
</tbody>
</table>
New Tool to Help Build the New Biosketch

- The Science Experts Network (SciENcv) serves as an inter-agency system designed to create biosketches for multiple federal agencies, such as NSF and NIH.
- SciENcv allows you to enter your biographical data once and convert it into biosketches that can be used with both NIH or NSF grant applications and annual progress reports.
- Additionally, SciENcv pulls information from available resources making it easy to develop a repository of information that can be readily updated and modified to prepare future biosketches.

Simplifying the NIH Policy for Late Submissions

**NOT-OD-15-039** – Simplifying the NIH Policy for Late Application Submissions

Effective January 25, 2015, there is a two week window of consideration after the application due date, during which time NIH might consider accepting a late application. Acceptance of late applications is done on a case-by-case basis. For MPI applications, the reasons for late submissions may apply to one or any of the PIs.

NIH will **not** consider accepting late applications under the following circumstances:

- RFAs that state “No late applications will be accepted for this FOA”.
- New Investigator R01 applications resubmitted on special due dates.
Simplifying the NIH Policy for Late Submissions

Acceptable reasons for submitting a late application include:

• Death of an immediate family member (PI or MPI)
• Sudden acute severe illness of PI/MPI or immediate family member
• Temporary or ad hoc service by the PI/MPI on an NIH advisory group during 2 months preceding or 2 months following application due date
• Delays due to weather, natural disasters or other emergency situations
• For PD/PIs who are eligible for continuous submission, the late application policy applies to activities not covered under the continuous submission policy (i.e., other than R01, R21, and R34 funding opportunities that use standard due dates)
New NRSA Stipend Levels

- **NOT-OD-15-048**: NRSA Stipends, Tuition/Fees and Other Budgetary Levels effective for Fiscal Year 2015

- Effective with all Kirschstein-NRSA awards made on or after October 1, 2014, stipend levels have increased by 2%

- All FY2015 awards previously issued using FY2014 stipend levels will be adjusted to FY 2015 levels
**NOT-OD-15-038**: The Departments of Health and Human Services is changing the standard due dates for SBIR/STTR submissions

- Effective September 5, 2015 the new SBIR/STTR deadlines are:

<table>
<thead>
<tr>
<th>Activity Codes</th>
<th>Program</th>
<th>Application Instructions</th>
<th>Cycle I Due Date</th>
<th>Cycle II Due Date</th>
<th>Cycle III Due Date</th>
</tr>
</thead>
<tbody>
<tr>
<td>R41, R42, UT1, UT2 R43, R44, U43, U44, All - new, renewal, resubmission, revision Technology Transfer (STTR) and Innovation Research (SBIR)</td>
<td>SF424 (R&amp;R)</td>
<td>September 5</td>
<td>January 5</td>
<td>April 5</td>
<td></td>
</tr>
</tbody>
</table>

- SBIR/STTR grant application will not be accepted on AIDS and AIDS-related due dates as of September 25, 2015
- There will not be a August 5, 2015 due date. The next Standard Due Date after April 5, 2015 is September 5, 2015
ASSIST To Become an Option for Submission of Applications for Most Competing Grant Programs in 2015

**NOT-OD-15-044**: NIH plans to open ASSIST as an option for submission of single project applications for most competing cooperative agreement and grant programs in 2015

- As of end of January 2015, the R03 and R21 mechanisms will be available for submission through ASSIST
- Remaining programs will become available throughout 2015
- The SF424 application guide will be updated to include ASSIST submissions for single-project grants
- The use of ASSIST is OPTIONAL for now – grants.gov downloadable forms and system-to-system remain viable options for submission

Note: We will be covering multi-project ASSIST submissions in the upcoming A-Z Training Course on February 25th in the MedEd Upper Auditorium.
ASSIST To Become an Option for Submission of Applications for Most Competing Grant Programs in 2015

- The benefits of submitting applications through ASSIST include:
  - Secure web-based data entry
  - Collaboration of multiple users
  - Pre-submission validation of NIH and key Grants.gov business rules
  - Pre-population of data from eRA Commons profiles
  - Pre-submission print/preview of application in NIH format
  - Submission status tracking for both Grants.gov and eRA Commons within a single system
  - Ability to import subaward budget data from external sources
  - Ability to copy application data (excluding attachments) from one announcement to another
Salary Cap Increase on Grants, Cooperative Agreements and Contracts

NOT-OD-15-049: NIH Executive Level II Salary Cap set to increase

- Effective January 11, 2015, Executive Level II salary has increased from $181,500 to $183,300
- No adjustments will be made to modular grant applications/awards or to previously established commitment levels for non-competing grant awards issued with FY 2015 funds
- While adjustments are not allowed, re-budgeting is permitted if adequate funds are available
  - To re-budget funds, **prorated amounts may be used**: to calculate salary for NOAs issued between October 1, 2014 and January 10, 2015, the cap of $181,500 is to be used. As of January 11, 2015, the increased cap of $183,300 can be used for the duration of the budget period and thereafter
- The salary limitation provision DOES apply to subawards/subcontracts for substantive work under an NIH grant or contract
Complex & Multi-Project Research Performance Progress Report (RPPR)
Complex & Multi-Project RPPR

- **NOT-OD-15-014 Reminder: NIH Requires the RPPR for **ALL** Progress Reports**

- As of October 17, 2014, NIH now requires grantees to submit all type 5 progress reports using the RPPR module in eRA Commons. Annual progress reports submitted in any format other than the RPPR will not be processed by the NIH and will require resubmission through the RPPR module.

- This notice supersedes all references to the use of the PHS 2590 for annual non-competing continuation progress reports in NoAs.
Complex & Multi-Project RPPR

• RPPR Structure
  • RPPR questions at overall project level
  • RPPR questions at the component level
    • Note: Components are identified by grantee in the first RPPR year and then the following year it will automatically be created by the system.
  • Questions not applicable to component
    • E.g., Publications, Websites, Inventions, Participants, Inclusion/Enrollment, Unobligated Balance
Complex & Multi-Project RPPR

Example of a Multiple-Project RPPR that has NOT been started

If you answer no, then you will only have the overall component. No other components will be added.

- Note: Only the Overall Component is created by the system when the RPPR has not yet been started; none of the components have been entered in yet for this RPPR. They will need to be included by the grantee.
### Complex & Multi-Project RPPR

**Example of a Multiple-Project RPPR that HAS BEEN started**

If yes, then you can start to add the applicable components that do not automatically appear after you state yes.

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The various Projects and Cores are broken out here.

<table>
<thead>
<tr>
<th>ID</th>
<th>Project Title</th>
<th>Program Director(PD)/ Principal Investigator(PI) Name</th>
<th>Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall</td>
<td></td>
<td></td>
<td>Check for Errors</td>
</tr>
</tbody>
</table>

13 items found, displaying all items:

<table>
<thead>
<tr>
<th>Component ID</th>
<th>Component Type</th>
<th>Component Project Title</th>
<th>Actions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project-7054</td>
<td>Project</td>
<td>Project 1: Effects of Superfund Toxicants on Liver Cancer Progenitor Cells</td>
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</tr>
<tr>
<td>Project-7668</td>
<td>Project</td>
<td>Project 2: Comparative and Functional Genomics Analysis of Superfund Toxicants</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Project-7057</td>
<td>Project</td>
<td>Project 3: Toxigenomic Analysis of Nuclear Xenobiotic Receptors</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Project-7050</td>
<td>Project</td>
<td>Project 4: Antioxidant protection by bilirubin in arsenic induced toxicity and disease</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Project-7659</td>
<td>Project</td>
<td>Project 5: Effect of Underlying Liver Diseases on Fibrosis induced by Superfund Toxicants</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Project-7680</td>
<td>Project</td>
<td>Project 7: Molecular Mechanisms of Heavy Metal Detoxification and Accumulation in Plants</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Project-7561</td>
<td>Project</td>
<td>Project 8: Field Portable Fluorescent Sensing Methods for Detecting Superfund Pollutants</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Admin Core-7064</td>
<td>Admin Core</td>
<td>Administrative Core</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Core-7665</td>
<td>Core</td>
<td>Research Translation Core: Translating Research to Detect and Bioremediate Superfund Toxicants</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Core-7666</td>
<td>Core</td>
<td>Core A: Mouse Molecular Genetics</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Core-7667</td>
<td>Core</td>
<td>Core B: Multiscale Imaging and Proteomics Core</td>
<td>Check for Errors</td>
</tr>
<tr>
<td>Core-7668</td>
<td>Core</td>
<td>Community Engagement Core: Community-University Partnerships for Environmental Public Health</td>
<td>Check for Errors</td>
</tr>
</tbody>
</table>
As with ASSIST, the system will automatically create the composite budget summary pages and calculate the total costs for you.

Component sections have been streamlined and will not show all data that is only on the overall summary component.
Complex & Multi-Project RPPR FAQs

• What do you do when an entire Project or Core is handled by the Subaward Institution?
  • You use the Subaward R&R Budget. In our R&R Budget, you enter the Consortium Costs in the F. Other Direct Costs section. Remember, we have already accounted for the $25,000 IDC Allowance in Year 1, thus our IDC base will be $0 and the total costs will be the total costs of . If we have not reached the $25k IDC allowance yet, be sure to calculate that in our R&R Budget.

• Where do you upload the Subaward’s Face Page?
  • We recommend uploading it behind the Subaward R&R Budget Justification. The Face Page is only required if we are a new Subaward or if the Subaward Face Page from the parent didn’t include the entire period.
Complex & Multi-Project RPPR FAQs

• Where do I put the All Personnel Report?
  • Section D. Participants takes the place of the All Personnel Report. If you enter the eRA Commons IDs for personnel, their information will partially populate the form. Additionally, if the personnel required do not have eRA Commons IDs, you will receive an error. When entering personnel, you enter them on the Section D. Overall and the system allows you to select which components they are associated with. This will populate the respective components in Section D.

• Is a Budget Justification required?
  • Budget Justifications are required in each component for those line items that have significant changes from the previous year. HOWEVER, HS SPPO recommends you provide a detailed Budget Justification for each category and component (including the NIH Salary Cap statement, escalation statement, etc).
The indirect costs appear to be calculated incorrectly. What should I do?

An issue has been identified with the system-generated Composite Budget Summary for the grantee organization's indirect costs. When an RPPR includes a component(s) led by an organization other than the grantee organization, the system does not provide for the entry of any applicable Indirect Cost for the grantee organization.

The grantee organization may document the Indirect Cost Calculation error in the budget justification of a project led by the grantee organization. However, the RPPR review is not affected by this issue and NIH will correct the budget calculations administratively when preparing the award.
Complex & Multi-Project RPPR FAQs

• For multi-project awards, how should progress be reported for components that have already been completed?
  • If progress has not been reported for the completed component, include the component in the RPPR. Since the component will not be continued in the next year, the budget should reflect zero dollars and the component will not need to be included in subsequent RPPRs.

• Will the due dates for non-SNAP RPPRs change?
  • No, the due dates for non-SNAP RPPRs will not change. Non-SNAP progress reports require detailed budgets and are often multi-project; therefore, they require more time to review than do SNAP progress reports.
  • The non-SNAP progress report is due the first of the month preceding the month in which the budget period ends (e.g., if the budget period ends 11/30, the due date is 10/1).
Complex & Multi-Project RPPR FAQs

Are any internal forms required by UC San Diego & HS SPPO in order to process and submit this progress report?

- Yes, this is just like the paper PHS 2590 submission. You will need to obtain a UCSD #, as well as upload into EPRS: (1) RES form; and (2) Detailed budget. Don’t forget! You will need to submit FCOI for all key persons on the complex and/or multi-project RPPR to the COI office.

- Reminder: this is not like a Non-SNAP RPPR where the PI may submit on their own. Since there is a detailed budget in the progress report, you will need to process this through HS SPPO. HS SPPO will be the office to submit the RPPR to NIH. The PI will not have the submit option.
Complex & Multi-Project RPPR Hints

- Pay attention to your specific IC requirements. Some ICs require specific information to be included in addition to the RPPR requirements. Also, some NOAs require additional information to be submitted with the progress report.

- If we were awarded an Administrative or a Minority Supplement in the previous year, please check the NOA to see where we need to report on this. NIH typically requires you to report on the Minority supplement in the next year’s continuation.

- Public Access Policy: NIH will delay processing of your RPPR if it is not in compliance with the public access policy.
  - Bringing papers into compliance:
    - Use My NCBI’s My Bibliography and take into consideration that this process may take several weeks
    - PD/PIs must collaborate with project leads and supported authors to ensure all papers are in My Bibliography and thus linked to the RPPR.
    - For additional resources: see http://publicaccess.nih.gov/index.htm
  - Anything else?
Complex & Multi-Project RPPR Resources

- NIH RPPR Instruction guide (rev 10/17/2014):
- NIH RPPR Training for Grantees Webinar – Phase II
  • Part 1 – Training for Grantees Webinar
    http://www.youtube.com/watch?v=9gfWSW5ey6g&feature=youtu.be
  • Part 2 – Training for Grantees Webinar Questions
    http://www.youtube.com/watch?v=P7oNOwMo7EU
  • Training for Grantees Webinar PPT
- NIH RPPR Information and Historical Background
  http://grants.nih.gov/grants/rppr/index.htm
- eRA Commons RPPR Online Help
  http://era.nih.gov/erahelp/commons/default.htm#cshid=1047
- eRA Commons Demo
Any questions?