International Visiting, Shipping, or Collaboration

Restricted Party Screening

Hits or Alerts

Possible Outcomes of Review

No License Required

No Hits

On the person

On the country

Visiting

Shipping

Export Control Review

Export License (min 6 weeks)

Rework Transaction or Terms of Visitation

Control Plan

Decline Transaction
Export Control
Red Flags in a University Environment

DoD other MILITARY-related funding or collaboration—Army, Navy, Air Force, Marines, DARPA, DTRA, Northup Grumman, Leidos, etc.

SPACE-related—NASA, ESA or other Space Agency funding: Satellites, Rockets, Landing vehicles, etc.

Encryption, Advanced Computing and Information Technology—source code, Product Design Kits (PDKs), integrated circuits, etc.

Nuclear—Weapons related as well as Civilian or Energy research

Chemical or Biological Weapons—“Select agents” list or Dual Use Research of Concern: e.g. certain e. Coli or Botulinum toxins (Botox)

Others include UAVs (Drones) or AUVs, Robotics, Rocket or Missile technology, Oil & Gas exploration, Acoustic technology or research, infrared cameras or focal plane arrays, certain Sensors, etc.

Payments such as travel reimbursements or for services or clinical trials to foreign individuals or entities

Services such as consulting for or training foreign nationals, other than activities covered as “educational information” or “fundamental research”

Restricted Parties—use the Restricted Party Screening (RPS) tool on VisualCompliance.com to ensure no foreign entities are listed on a government restricted list

Sanctioned Countries—Cuba, Iran, North Korea, Sudan or Syria

Is there an INTERNATIONAL component?

- Foreign sponsor or collaborator
- Foreign government or military involvement
- Foreign travel for conferences or field research
- Shipping outside the U.S.
- International includes Canada and Mexico

Is this Basic/Fundamental Research?

- No publication or dissemination restrictions in award or BAA/RFP
- No foreign national restrictions or requirements for US citizens or US persons only
- No proprietary information — NDAs, CDAs, or other confidentiality agreements

Any Red Flags should be escalated to the Export Control Office (export@ucsd.edu) for review and license determination

http://export.ucsd.edu

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RESTRICTED PARTIES UNDER EXPORT CONTROLS

What is a Restricted Party?
Federal agencies maintain lists of individuals and organizations, which are determined to pose a risk.

Export Control laws restrict collaborating with or shipping to certain individuals or organizations listed as Restricted Parties. These Restricted Parties (also called Restricted Entities) include terrorists, weapons proliferators, and denied or debarred parties. Certain transactions with restricted entities are prohibited, or require an export license.

Export Controls are in place to ensure the National Security and Foreign Policies of the U.S.

DID YOU KNOW?
There are multiple Universities and Research Institutions listed as restricted parties by the U.S. Government? Turn over this page for a sample list

Restricted Party Screening (RPS) is how UC San Diego ensures that we do not work with Restricted Parties without required U.S. government authorization.

RPS is run through a third-party vendor that the UC system has contracted: VisualCompliance.com

With your @ucsd.edu email, you can sign up for a account at:

You can request a User Guide for running RPS at UCSD by emailing export@ucsd.edu

RPS hits or alerts must be escalated to the Export Control Office for review

Common transactions that require RPS include, but are not limited to, the following:

Foreign Collaborators (including visitors, visiting scholars and visiting graduate students)

Parties to Awards & Agreements with foreign collaborators or entities

International Shipping Recipients and Intermediaries, such as customs agents

Payments to foreign person or entities (for purchases, travel, reimbursement, etc.)

Visit http://export.ucsd.edu/ for more information on Export Controls
**Restricted Entities – University Examples**

BEIJING UNIVERSITY OF AERONAUTICS AND ASTRONAUTICS (BUAA) AKA BEIHANG UNIVERSITY- China

NORTHWEST POLYTECHNICAL UNIVERSITY-Xian, China

SICHUAN UNIVERSITY- Chengdu, China

UNIVERSITY OF ELECTRONIC SCIENCE AND TECHNOLOGY OF CHINA- Chengdu, China

National University of Defense Technology- China

MALEK ASHTAR UNIVERSITY OF TECHNOLOGY- Tehran, Iran

BAQIYATTALLAH UNIVERSITY OF MEDICAL SCIENCES – Tehran, Iran

IMAM HOSSEIN UNIVERSITY- Tehran, Iran

BEN GURION UNIVERSITY (BGU)- Israel

*Not Comprehensive use visual compliance for screening

**RPS hits or alerts must be escalated to the Export Control Office for review**